

#6



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/746,582	12/21/2000	2132	998	AUS9-1999-0752-US1	5	36	3

Barry S. Newberger  
Winstead Sechrest & Minick P.C.  
5400 Renaissance Tower  
1201 Elm Street  
Dallas, TX 75270

CONFIRMATION NO. 1599  
CORRECTED FILING RECEIPT



\*OC000000008655301\*

Date Mailed: 08/20/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Bruce Arland Rich, Round Rock, TX;  
Julianne Yarsa, Austin, TX;  
Theodore Jack London Shrader, Austin, TX;  
Donna Skibbie, Round Rock, TX;

**Assignment For Published Patent Application**

International Business Machines Corporation;

**Domestic Priority data as claimed by applicant****Foreign Applications**

If Required, Foreign Filing License Granted 02/01/2001

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

## Composite keystore facility apparatus and method therefor

**Preliminary Class**

380

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Receipt  
#6

AUS9-1999-0752-US1

PATENT



- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Bruce A. Rich et al.

Serial No.: 09/746,582

Filed: December 21, 2000

Art Unit: 2131

Examiner: Not Assigned

For: COMPOSITE KEYSTORE FACILITY APPARATUS AND METHOD THEREFOR

RECEIVED

AUG 13 2001

Technology Center 2600

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

1. Attached is a copy of the official filing receipt, postcard, and certified express mail receipt in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:
  - ☒ incorrectly entered
  - and/or
  - ☐ omitted.

**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on April 6, 2001.

Serena Beller  
Signature

Serena Beller  
(Printed name of person certifying)

	<i>Error in</i>	<i>Correct data</i>
1.	<input type="checkbox"/> Applicants' Name	1.
2.	<input type="checkbox"/> Applicants' Address	2.
3.	<input type="checkbox"/> Title	3.
4.	<input type="checkbox"/> Filing Date	4.
5.	<input type="checkbox"/> Serial No.	5.
6.	<input type="checkbox"/> Foreign/PCT Application Re:	6.
7.	<input checked="" type="checkbox"/> Attorney Docket No.	7. AUS9-1999-0752-US1

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicants

By: Barry S. Newberger  
Barry S. Newberger  
Reg. No. 41,527

5400 Renaissance Tower  
1201 Elm Street  
Dallas, Texas 75270  
(512) 370-2808

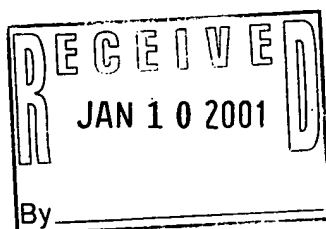
\\ODMA\PCDOCS\AUSTIN\_1\162245\1  
368:7047-P385US



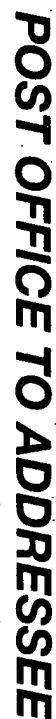
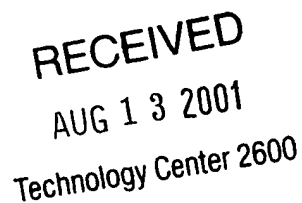
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The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

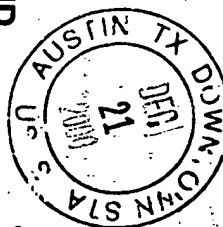
<input checked="" type="checkbox"/> NEW APPLICATION	<input type="checkbox"/> NOTICE OF APPEAL
<input checked="" type="checkbox"/> DECLARATION/POA	<input type="checkbox"/> ISSUE FEE \$ _____ COPIES _____
<input checked="" type="checkbox"/> ASSIGNMENT/\$ <u>40.00</u>	<input checked="" type="checkbox"/> PCT RGST./TRANS. LTR. <u>+ 1 COPY</u>
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<input type="checkbox"/> AMENDMENT _____	<u>- RECORDATION</u>
<input type="checkbox"/> EOT _____ MTHS. _____	
NAME OF INVENTOR(S)/APPLICANT: <u>BRUCE ARLAND RICH ETAL.</u>	
TITLE OF INVENTION: <u>COMPOSITE KEYBOARD FACILITY APPARATUS AND METHOD...</u>	
CLIENT: <u>AUS9-2000-0752-45/7047-P385US</u>	WSM FILE NO.: _____
Mailed: <u>12/21/00</u>	EXPRESS <input type="checkbox"/>
Due: <u>X</u>	<b>EJ058152384US</b>
ATTY/ATT/PRG: <u>BSN/ga</u>	CERTIFICATE OF MAILING <input type="checkbox"/>
jcs43 U.S. PTO <b>09/746582</b>  12/21/00	



DOCKETED WAS 1/10/2001



EJ058152384US

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Time In MO. 10 Day 21 Year 00	Postage \$ 15.05
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\_\_\_\_\_  
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FROM: (PLEASE PRINT) \_\_\_\_\_ PHONE: 512-370-2808

✓ BAREY, J. NEWBETTER EXP.

WINSTON, SCOTT ST-1 MINICK?

100 COWLEY ST. APT. #300

AUSTIN, TEXAS 78701

✓ CUID# 7047 - 738515

TO: PLEASE PRINT \_\_\_\_\_ PHONE: \_\_\_\_\_

Box Patent Application  
Assistant Commissioner  
of Patents  
Washington, D.C. 20531

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Label 11-B July 1997





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/746,582	12/21/2000	2131	998	AUS9-2000-0752-US1	5	36	3

CONFIRMATION NO. 1599

## FILING RECEIPT



\*OC000000005767659\*

Barry S. Newberger  
Winstead Sechrest & Minick P.C.  
5400 Renaissance Tower  
1201 Elm Street  
Dallas, TX 75270

Date Mailed: 02/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Bruce Arland Rich, Round Rock, TX;  
Julianne Yarsa, Austin, TX;  
Theodore Jack London Shrader, Austin, TX;  
Donna Skibbie, Round Rock, TX;

RECEIVED

AUG 1 8 2001

## Assignment For Published Patent Application

International Business Machines Corporation,;

## Continuing Data as Claimed by Applicant

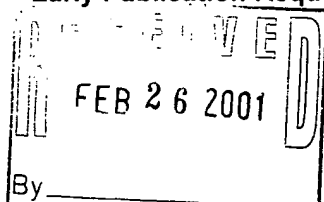
## Foreign Applications

If Required, Foreign Filing License Granted 02/01/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No



DOCKETED WAS 2/26/2001

**Title**

Composite keystore facility apparatus and method therefor

**Preliminary Class**

380

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**Data entry by :** KELECHA, ASEGEDECH

**Team :** OIPE

**Date:** 02/02/2001







4089-1999-0752-US1

PATENT

-1-

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Bruce A. Rich et al.

Serial No.: 09/746,582

Filed: December 21, 2000

Art Unit: 2131

Examiner: Not Assigned

For: COMPOSITE KEYSTORE FACILITY APPARATUS AND METHOD THEREFOR

**APPLICANTS' RESPONSE TO  
PTO'S NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

1. ☒ This replies to the Notice to File Corrected Application Papers mailed February 15, 2001.  
☒ A copy of the Notice to File Corrected Application Papers is attached.

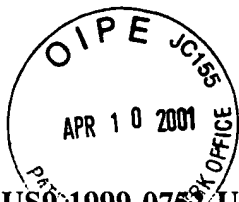
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**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on April 6, 2001.

Serena Beller  
Signature

Serena Beller  
(Printed name of person certifying)



AUS9-1999-0752-US1

PATENT

### DRAWINGS

2. ☒ Enclosed are FIVE (5) sheets of formal drawings that have the appropriate margin(s) in compliance with 37 C.F.R. § 1.84(g).

### PAYMENT OF FEES

3. ☐ Enclosed is a check in the amount of \$\_\_\_\_\_ (Surcharge fee) and a check for \$\_\_\_\_\_ (Drawings).
- ☒ Applicants believe no fees are due at this time. However, should any fees be required, the Commissioner is hereby authorized to charge any deficiency in fees, or credit any overpayment, to Account No. 09-0447 (AUS9-1999-0752-US1). **A photocopy of this request is enclosed.**
- ☐ Charge Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_. **A photocopy of this request is enclosed.**

Respectfully submitted,

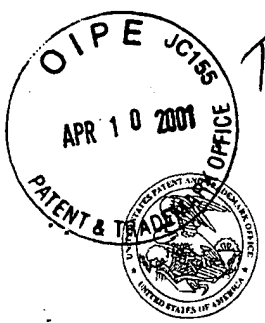
WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicants

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368:7047-P385US



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/746,582	12/21/2000	Bruce Arland Rich	AUS9-2000-0752-US1

CONFIRMATION NO. 1599

## FORMALITIES LETTER



\*OC000000005767660\*

Barry S. Newberger  
Winstead Sechrest & Minick P.C.  
5400 Renaissance Tower  
1201 Elm Street  
Dallas, TX 75270

Date Mailed: 02/15/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE